



MODERN SLAVERY & HUMAN TRAFFICKING STATEMENT

This Slavery and Human Trafficking Statement is required under Section 54 of the Modern Slavery Act 2015 (the “**Act**”) for the financial year ended 31 December 2019. This statement applies to all companies within CME Group Inc. (“**CME Group**”) that are required to have a modern slavery statement in accordance with the Act. The covered entities are set forth at the end of this Statement.

Introduction

CME Group is committed to its business principles, corporate values and ethical standards and through this Statement represents its commitment to challenge and confront the use of forced, compulsory, trafficked or child labor within our own organization and our supply chains.

As described in its 2018 Statement, CME Group acquired NEX Group plc (“**NEX**”). During 2019, CME Group continued with its integration of the NEX businesses.

As the leading and most diverse derivatives marketplace and a global center of price discovery, CME Group enables clients to trade futures, cash and over-the-counter markets, optimize portfolios, and analyze data — empowering market participants worldwide to efficiently manage risk and capture opportunities. CME Group exchanges offer the widest range of global benchmark products across all major asset classes based on interest rates, equity indexes, foreign exchange, energy, agricultural products and metals. The company offers futures and options on futures trading across asset classes through the CME Globex platform, fixed income trading via BrokerTec and foreign exchange trading on the EBS platform. In addition, it operates one of the world’s leading central counterparty clearing providers, CME Clearing, a Division of Chicago Mercantile Exchange Inc. With a range of pre- and post-trade products and services underpinning the entire lifecycle of a trade, CME Group also offers optimization, reconciliation and processing services through TriOptima, Reset and Traiana.

As a financial services and technology company, CME Group believes that it operates within an industry with a low risk of supporting or encountering slavery or human trafficking, based on the nature of the relevant products and services as well as the locations of its significant operations (predominantly in the United States and the United Kingdom where such activities are prohibited). Additionally, CME Group’s supply chains are limited. Generally, we procure computer equipment as well as office supplies, equipment and services for the daily running of our businesses. In addition, from time to time, we use third party vendors and contractors for technology support and development, and for various advisory services. CME Group does not manufacture or sell any physical goods, and therefore we have no supply chain relating to such activities.

Anti-Slavery Policy and Practices

Notwithstanding its low risk for supporting slavery or human trafficking, CME Group is committed to essential ethical standards and mandates compliance with the laws and regulations in the jurisdictions where we operate and respects the human rights of others.

CME Group has an established global corporate compliance and ethics program (the “**Program**”), which is designed to promote integrity and ethical business practices throughout our organization under the leadership of our Managing Director, Enterprise Risk Management and Global Chief Compliance Officer. The Program is overseen at the CME Group Board level by our Risk Committee.

Our policies reflect our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery or human trafficking is not taking place anywhere in our business or supply chains.

Employees and suppliers receive communications and education on CME Group’s policies and our expectations regarding adherence to those policies.

Third Party Suppliers

CME Group has an established dedicated Third Party Risk Management (“**TPRM**”) Program. Through our TPRM Program we have enhanced due diligence and risk assessment processes to identify any heightened risks of slavery or human trafficking. We also have in place policies and procedures and legal agreements that require third parties to comply with applicable laws and the standards of CME Group. Suppliers that are rated as higher risk in relation to potential human rights violations are subject to additional due diligence. In connection with the integration of the NEX businesses, its suppliers have been incorporated into our TPRM Program.

Reporting of Violations of the Slavery or Human Trafficking

We provide the means (including anonymous helplines) for employees and other third parties to make confidential reports, raise complaints and request guidance about compliance or ethical issues, including matters referred to in our policies. These helplines provide another avenue through which we can ensure that any potential slavery, human trafficking or wider human rights impacts are identified and dealt with appropriately in our organization. Each report of suspected misconduct is investigated by appropriate groups within our organization and where a violation of policy is substantiated corrective action is taken.

Future Actions


CME Group plans to continue to monitor its business for potential risks related to slavery and human trafficking and other best practices adopted in our industry.

Questions regarding this Statement should be directed to the CME Group Corporate Compliance Department at cme.compliance@cmegroup.com.

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This statement has been approved by the applicable Boards of Directors and signed by one of their directors.

On behalf of CME Group Inc.


Terrence A. Duffy
Chairman and Chief Executive Officer

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The Boards of Directors of CME Group Inc. and Chicago Mercantile Exchange Inc. approved this statement on May 5, 2020.

The Board of Directors of BrokerTec Europe Limited approved this statement on May 21, 2020.

The Board of Directors of CME Finance Holdings Limited approved this statement on May 21, 2020.

The Board of Directors of CME London Limited approved this statement on May 21, 2020.

The Board of Directors of CME Marketing Europe Limited approved this statement on May 21, 2020.

The Board of Directors of CME Operations Limited approved this statement on May 21, 2020.

The Board of Directors of EBS Service Company Limited approved this statement on April 14, 2020.

The Board of Directors of Reset Private Limited approved this statement on May 21, 2020.

The Board of Directors of Traiana, Inc. approved this statement on June 30, 2020.

The Board of Directors of TriOptima AB approved this statement on May 21, 2020.