

# CME GROUP INC. Reported by SPENCER MICHAEL A

# FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 11/06/18 for the Period Ending 11/02/18

Address 20 S. WACKER DR

CHICAGO, IL, 60606

- Telephone 3129301000
  - CIK 0001156375
  - Symbol CME
- SIC Code 6200 Security and Commodity Brokers, Dealers, Exchanges and Services

Industry Financial & Commodity Market Operators

- Sector Financials
- Fiscal Year 12/31

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FORM 4	
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer				
1 0		(Check all applicable)				
Spencer Michael A	CME GROUP INC. [ CME ]					
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	X Director 10% Owner				
(Last) (First) (Middle)	· · · · · · · · · · · · · · · · · · ·	Officer (give title below) Other (specify below)				
20 S. WACKER DR.	11/2/2018					
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
CHICAGO, IL 60606 (City) (State) (Zip)		X Form filed by One Reporting Person Form filed by More than One Reporting Person				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans. Date	 (Instr. 8)		or Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Beneficial
		Code	v	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock Class A	11/2/2018	Α		3020690	Α	<u>(1)</u>	3020690	D	

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivate	2.	3. Trans.	3A. Deemed	4. Trans. C	Code	5. Number	of	6. Date Exer	cisable and	7. Tit	le and Amount of	8. Price of	9. Number of	10.	11. Nature		
Security	Conversion	Date	Execution	(Instr. 8)		Derivative Securities		Derivative Securities		Expiration Date		Securities Underlying		Derivative	derivative	Ownership	of Indirect
(Instr. 3)	or Exercise		Date, if any			Acquired (	A) or	-		Deriv	ative Security	Security	Securities	Form of	Beneficial		
	Price of					Disposed o	f (D)			(Instr	. 3 and 4)	(Instr. 5)	Beneficially	Derivative	Ownership		
	Derivative					(Instr. 3, 4	and 5)						Owned	Security:	(Instr. 4)		
	Security					-							Following	Direct (D)			
	-							Date	Expiration		Amount or Number of Shares		Reported	or Indirect			
								Exercisable	Date	Title	Shares		Transaction(s)	(I) (Instr.			
				Code	V	(A)	(D)						(Instr. 4)	4)			

#### **Explanation of Responses:**

(1) Received in exchange for shares of NEX Group plc ("NEX") in connection with the acquisition (the "Acquisition") of NEX by CME Group Inc. Pursuant to the terms of Acquisition, NEX shareholders received for each NEX Group ordinary share 500 pence in cash and 0.0444 shares of CME Group Class A common stock. The effective date of the Acquisition was November 2, 2018. The closing price on the trading day prior to the effective date of the Acquisition was \$183.75 for CME Group Class A common stock. Immediately prior to the effective date of the Acquisition and the exchange of shares, Mr. Spencer held 68,033,572 shares of NEX.

#### **Reporting Owners**

Penarting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Spencer Michael A 20 S. WACKER DR.	X						
CHICAGO, IL 60606							

#### Signatures

Margaret Austin Wright for Michael A. Spencer \*\*\* Signature of Reporting Person

11/6/2018 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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