SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add CRONIN K		0	2. Issuer Name and T		0,	(Checl	ationship of Reportin (all applicable) Director Officer (give title	10% 0			
(Last) 20 S. WACKE	(First) R DRIVE	(Middle)	3. Date of Earliest Tra 03/11/2022	ansaction (Mon	th/Day/Year)	X	below) Sr MD Gen Cou	below)		
(Street)			4. If Amendment, Dat	e of Original Fi	led (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Grou	p Filing (Check	Applicable		
CHICAGO	IL	60606				X	Form filed by On	e Reporting Per	son		
(City)	(State)	(Zip)	-				Form filed by Mo Person	re than One Re	porting		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1 Title of Securit	tv (Instr 3)	2. Transac	ion 2A. Deemed	3.	4. Securities Acquired (A) of	or	5. Amount of	6. Ownership	7. Nature		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	EXECUTION Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	Code V Amou		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock Class A	03/11/2022		S		4,000 ⁽¹⁾	D	\$227.153	40,368	D	
Common Stock Class A	03/11/2022		G		283 ⁽²⁾	D	\$0	40,085	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								•				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		i of i		6. Date Exerc Expiration Da (Month/Day/Y	Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. On March 11, 2022, Ms. Cronin sold an aggregate of 4,000 shares of CME Group Class A common stock. For reporting purposes, the sale prices within \$1 range have been aggregated and the weighted average has been reported. The price range was \$227.10 to \$227.264. The Company maintains a record of the transaction and copies will be provided upon request. 2. This transaction involved a gift of securities from the reporting person to a charitable organization.

Remarks:

By: Margaret Austin Wright For: Kathleen Marie Cronin

** Signature of Reporting Person

03/14/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.