### FORM 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

_	_	_	-	•••	•	•••	_	_	-	•••		_		-	8
				Wa	as	hi	no	atc	n.	D	C.	2	05	49	9

Washington,	D.C.	205

OMB APF	PROVAL
OMB Number:	3235-0362
Estimated average	burden

1 0

Partnership

Partnership

Partnership

Partnership

Partnership

hν

Ī

Ι

By Firm

hours per response:

0.375

185

0

0

0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported.
Form 4 Transactions Reported.
Check this box to indicate that a transaction was made pursuant to a

contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10

**OWNERSHIP** 

1. Name and Add Carey Char	Iress of Reporting Pe	erson <sup>*</sup>		2. Issuer Name and Ticker or Trading Symbol CME GROUP INC. [ CME ]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Carcy Chai</u>	105 1						X	Director	10	% Owner					
(Last) 20 S. WACKI	(First) ER DRIVE	3. Statement for 12/31/2023	r Issuer's Fisc	cal Year Ended (	ay/Year)		Officer (give tit below)		her (specify llow)						
(Stroot)		4. If Amendme	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) CHICAGO IL 60606								X	Form filed by N Form filed by N Person						
(City)	(State)	(Zip)													
	Ţ	able I - Non-Deriv	ative Securiti	es Acquir	ed, Dispose	d of, o	r Benefi	cially	Owned						
Date   E (Month/Day/Year)   ii			2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	ransaction Of (D) (Instr. 3, 4 and 5)			Securities Ov Beneficially Fo		6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership				
, in		(MONGING Bay/Teal)		Amount (A) or (D) Price		Price	i i	ssuer's Fiscal /ear (Instr. 3 and	Indirect (I) (Instr. 4)	(Instr. 4)					
Common Stoc	k Class A							10,163	I	by Trust					
			ĺ		1		ĺ				í.				

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**S**5

**S**5

**S**5

**S**5

1(1)

1(2)

1(2)

1(3)

D

D

D

\$211.93

\$215.76

\$215.76

\$216.09

			(9-,	,,		,	-  ,				•			
1. Title of Derivative Security (Instr. 3)  Convers or Exerc Price of Derivative Security		3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirec Beneficia Ownershi (Instr. 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

### **Explanation of Responses:**

Common Stock Class A

Common Stock Class A

Class B Common Stock, Series B-

10/06/2023

10/09/2023

10/09/2023

10/10/2023

- 1. This Form 5 is being filed to reflect an update to Mr. Carey's ownership of Class B shares that were previously reported as being indirectly owned by Mr. Carey in connection with his ownership of HC Technologies. Subsequent to the sale of HC Technologies' assets, one Class B-2 share was sold on October 6, 2023. The Class B common stock of CME Group Inc. is not listed on a national securities exchange or traded in an organized over-the-counter market. Each class of the Class B common stock is associated with a membership in a specific division of the exchange. We assume that because the Class B shares have the same equitable interest in our earnings and the same dividend payments as our Class A shares, that if reported separately from the associated trading rights, they would have the same market price as our Class A common stock. The closing price for our Class A common stock on October 6, 2023 was \$211.93.
- 2. This Form 5 is being filed to reflect an update to Mr. Carey's ownership of Class B shares that were previously reported as being indirectly owned by Mr. Carey in connection with his ownership of HC Technologies. Subsequent to the sale of HC Technologies' assets, one Class B-1 share and one Class B-3 share were sold on October 9, 2023. The Class B common stock of CME Group Inc. is not listed on a national securities exchange or traded in an organized over-the-counter market. Each class of the Class B common stock is associated with a membership in a specific division of the exchange. We assume that because the Class B shares have the same equitable interest in our earnings and the same dividend payments as our Class A shares, that if reported separately from the associated trading rights, they would have the same market price as our Class A common stock. The closing price for our Class A common stock on October 9, 2023 was \$215.76
- 3. This Form 5 is being filed to reflect an update to Mr. Carey's ownership of Class B shares that were previously reported as being indirectly owned by Mr. Carey in connection with his ownership of HC Technologies. Subsequent to the sale of HC Technologies' assets, one Class B-4 share was sold on October 10, 2023. The Class B common stock of CME Group Inc. is not listed on a national securities exchange or traded in an organized over-the-counter market. Each class of the Class B common stock is associated with a membership in a specific division of the exchange. We assume that because the Class B shares have the same equitable interest in our earnings and the same dividend payments as our Class A shares, that if reported separately from the associated trading rights, they would have the same market price as our Class A common stock. The closing price for our Class A common stock on October 10, 2023 was \$216.09.

#### Remarks:

By: Jenelle Chalmers For: Charles P. Carey

\*\* Signature of Reporting Person

Date

03/15/2024

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.