

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d)  
OF THE SECURITIES EXCHANGE ACT OF 1934**

**Date of report (Date of earliest event reported) February 16, 2022**

**CME GROUP INC.**

(Exact Name of Registrant as Specified in its Charter)

**Delaware**  
(State or Other Jurisdiction  
of Incorporation)

**001-31553**  
(Commission  
File No.)

**36-4459170**  
(IRS Employer  
Identification No.)

**20 South Wacker Drive**  
(Address of Principal Executive  
Offices)

**Chicago**

**Illinois**

**60606**  
(Zip Code)

**Registrant's telephone number, including area code: (312) 930-1000**

N/A

(Former Name or Former Address, if Changed Since Last Report)

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading symbol	Name of each exchange on which registered
Class A Common Stock	CME	Nasdaq

**Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):**

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405) of this chapter or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers**

As described in the attached press release, the Board of Directors of CME Group Inc. (“CME Group” or the “Company”) approved changes to its management team structure to position the Company for long-term success.

In connection with these changes, John W. Pietrowicz, 57, who has served as our Chief Financial Officer since 2014, has announced his plans to retire in 2023. Lynne Fitzpatrick, 43, has been promoted to Deputy Chief Financial Officer and upon Mr. Pietrowicz’s retirement will assume the role of Chief Financial Officer. Ms. Fitzpatrick has served as our Managing Director, Treasurer & Head of Corporate Development since 2017.

Additionally, Sunil Cutinho, 50, who has served as our President of CME Clearing since 2014, has been appointed as Chief Information Officer as the successor to Kevin Kometer who will retire from the Company in mid-2022. Mr. Kometer, 57, has served as our Chief Information Officer since 2008. Julie Holzrichter, 53, who has served as our Chief Operating Officer since 2014 will assume an expanded role to oversee both our Global Operations and CME Clearing.

Additional changes to the management team structure are discussed in the attached press release.

**Item 9.01 Financial Statements and Exhibits.**

<u>Exhibit Number</u>	<u>Description</u>
99.1	<a href="#">Press Release dated February 17, 2022.</a>
104	The cover page from CME Group Inc.’s Current Report on Form 8-K, formatted in Inline XBRL.





## News Release

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**Media Contacts**

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CME-G

**FOR IMMEDIATE RELEASE****CME Group Announces Senior Leadership Changes**

CHICAGO, February 17, 2022 – CME Group, the world’s leading derivatives marketplace, today announced changes to its management team structure to position the company for long-term success.

“CME Group has a proven history of evolving our business to meet changing market demands and opportunities,” said Chairman and Chief Executive Officer Terry Duffy. “This is an exciting and dynamic time for financial markets. We are fortunate to have built a strong leadership team, each person with a track record of working successfully across our company. As we continue to transform our business, we are restructuring our management team to further sharpen our focus and enhance our ability to achieve our strategic priorities for our clients, shareholders and employees.”

The new management team structure is detailed below, with all positions reporting to Duffy unless otherwise noted:

- Sunil Cutinho, who previously led CME Clearing and served in a variety of technology roles since joining the company in 2002, has been appointed Chief Information Officer. Overseeing the company’s enterprise technology, Cutinho will replace Kevin Kometer who is retiring at mid-year.
- Julie Holzrichter, Chief Operating Officer, will assume an expanded role to oversee both global operations and CME Clearing. Holzrichter was appointed COO in 2014.
- Suzanne Sprague has been promoted to the management team as Senior Managing Director, Global Head of Clearing & Post-Trade Services, reporting to Holzrichter. Sprague has served as Managing Director, Credit, Collateral and Liquidity Risk and Banking for CME Clearing since 2015.
- Sean Tully will continue to lead the company’s interest rates business, its largest asset class by revenue, as Senior Managing Director, Global Head of Rates & OTC Products. He is responsible for helping global clients navigate the ongoing market transition from LIBOR to SOFR-based products. He also will continue to oversee CME Group’s cash and OTC businesses.
- Tim McCourt has been promoted to the management team as Senior Managing Director, Global Head of Equity and FX Products and will be responsible for overseeing equity, foreign exchange, cryptocurrency and alternative investment products. He has led the company’s equity and alternative investment business since joining the company in 2013.

- CFO John Pietrowicz has announced his plans to retire in 2023. Lynne Fitzpatrick has been promoted to the management team as Deputy Chief Financial Officer and will succeed Pietrowicz upon his retirement. Fitzpatrick will work closely with Pietrowicz to ensure a smooth transition over the next year. She has served as Managing Director, Treasurer & Head of Corporate Development since 2017.

“On behalf of everyone at CME Group, I want to thank Kevin for all he has done to help our company advance, not only through technology, but through countless other contributions over his nearly 30-year career,” said Duffy. “I also want to thank John for his leadership over the last 20 years, as he has been a part of so many milestones for our company. I look forward to continuing to work with John to deliver value to CME Group’s clients and shareholders. Also, I am excited that John will be a big part of transitioning and continuing to mentor Lynne in her new role. Looking ahead, I am pleased with this new structure and the leaders we now have in place to move our company forward.”

General Counsel & Corporate Secretary Kathleen Cronin, Chief Commercial Officer Julie Winkler, Chief Transformation Officer Ken Vroman, Chief Human Resources Officer Hilda Harris Piell, as well as Global Head of Commodities, Options and International Markets, Derek Sammann, will continue to serve on the management team, reporting to Duffy in their current roles.

### **About CME Group**

As the world’s leading derivatives marketplace, CME Group ([www.cmegroup.com](http://www.cmegroup.com)) enables clients to trade futures, options, cash and OTC markets, optimize portfolios, and analyze data – empowering market participants worldwide to efficiently manage risk and capture opportunities. CME Group exchanges offer the widest range of global benchmark products across all major asset classes based on interest rates, equity indexes, foreign exchange, energy, agricultural products and metals. The company offers futures and options on futures trading through the **CME Globex®** platform, fixed income trading via BrokerTec and foreign exchange trading on the EBS platform. In addition, it operates one of the world’s leading central counterparty clearing providers, CME Clearing.

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