SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Holzrichter Julie (Last) (First) (Middle)			2. Issuer Name and Ticker or Trading Symbol <u>CME GROUP INC.</u> [CME] 3. Date of Earliest Transaction (Month/Day/Year)						tionship of Reportir all applicable) Director Officer (give title below)	10% (Other below	Dwner (specify)	
20 S. WACKER DRIVE				11/11/2021					Sr MD Chief O	perating Off	lcer	
(Street)			[4. If Amendment, Date of Original Filed (Month/Day/Year)			ar)	6. Indiv Line)	ividual or Joint/Group Filing (Check Applicable			
CHICAGO	IL	6060	6						X	Form filed by One Reporting Person		
(City)	(State)	(Zip)							Form filed by More than One Reporting Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 4. Securities Acquired Disposed Of (D) (Instr Object 8) Code V Code V Amount (A) or (D)			f (D) (Instr. 3, 4 and 5)		ıd 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 2 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

11/11/2021 \$220.8121⁽¹⁾ Common Stock Class A 5,000 D 33,713 D s Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 5. Number 6. Date Exercisable and 7. Title and 11. Nature 3. Transaction 3A. Deemed 8. Price of 9. Number of 10. Derivative Conversion Date **Execution Date** Transaction of Expiration Date (Month/Day/Year) Amount of Derivative derivative Ownership of Indirect Code (Instr. 8) Beneficial Ownership Securities Underlying Form: Direct (D) Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Derivative Securities Beneficially Security (Instr. 5) Securities Derivative Acquired (A) or Derivativ Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security Security (Instr. 3 and 4) Disposed of (D) (Instr. 3, 4 Reported Transaction(s) (Instr. 4) and 5) Amount or Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares

Explanation of Responses:

1. On November 11, 2021, Ms. Holzrichter sold an aggregate of 5,000 shares of CME Group Class A common stock. For reporting purposes, the sale prices within \$1 range have been aggregated and the weighted average has been reported. The price range was \$220.55 to \$221.07. The Company maintains a record of the transaction and copies will be provided upon request.

Remarks:

<u>By: Margaret Austin Wright</u> <u>For: Julie Holzrichter</u> <u>11/11/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.