SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287								
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hours per response	: 0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* GEPSMAN MARTIN J (Last) (First) (Last) (First) 20 S. WACKER DRIVE (Street) CHICAGO IL 60606 (City) (State) (Zip)					2. Issuer Name and Ticker or Trading Symbol <u>CME GROUP INC.</u> [CME] 3. Date of Earliest Transaction (Month/Day/Year) 08/23/2021								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
														Director Officer (give title below)		10% Owner Other (specify below)		
					4. If Amendment, Date of Original Filed (Month/Day/Year)						 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 							
		Table	e I - N	on-Deriva	tive	Secu	rities Ac	quired	d, Di	sposed of	f, or Be	enefici	ally O	wne	ed			
1. Title of Security (Instr. 3) Date (Month/Day/			Execution Date,		3. Transaction Code (Instr. 8) 4. Securities Acqui Disposed Of (D) (In						5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Direct Indirect tr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									v	Amount	(A) or (D) Price		<u> </u> т	Transaction(s) (Instr. 3 and 4)				(
Common Stock Class A 08/23/20)21			S		1,000	D	\$201.3	365	27	7,468	Ι	D C	
		Та	ble II							posed of, convertib				ned	1			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Exect if any	eemed ution Date, th/Day/Year)		action (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	of Expiration Derivative Securities Acquired (A) or Disposed of (D) Instr. 3, 4			7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4)		8. Price of Derivative Security (Instr. 5) tr.		9. Number derivative Securities Beneficially Owned Following Reported Transactior (Instr. 4)	Ov Fa Di or (I)). wnership orm: irect (D) r Indirect (Instr. 4)	11. Natur of Indirec Beneficia Ownersh (Instr. 4)

Date

Exercisable

Explanation of Responses:

Remarks:

By: Margaret Austin Wright 08/23/2021 For: Martin J. Gepsman

** Signature of Reporting Person Date

Amount or Number

Shares

of

Title

Expiration

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

Code

(A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.