SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

			Washington, D.C. 20549		OMB APPROVAL				
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).			IT OF CHANGES IN BENEFICIAL OWN pursuant to Section 16(a) of the Securities Exchange Act of 1934		ERSHIP		OMB Number: 3235-0 Estimated average burden hours per response:		
instruction 1(b).		1 lieu	or Section 30(h) of the Investment Company Act of 1940		L			_	
1. Name and Address of Marcus Jonathan		n*	2. Issuer Name and Ticker or Trading Symbol <u>CME GROUP INC.</u> [CME]	(Check all	l applicabl Director	e)	son(s) to Issuer 10% Owner		
(Last) (Fin 20 SOUTH WACKI	,	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/15/2023		Officer (giv below) Sr MI	ve title D General (Other (speci below) Counsel	У	
<u>,</u>			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individu Line)	ual or Join	t/Group Filino	g (Check Applic	۱d٤	
(Street) CHICAGO IL		60606		X F			orting Person 1 One Reporting		
(City) (St	ate)	(Zip)	Rule 10b5-1(c) Transaction Indication						
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.						
	Tabl	e I - Non-Deriva	tive Securities Acquired, Disposed of, or Benef	icially O	wned				

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)	
Common Stock Class A	09/15/2023		Α		2,540	Α	\$206.82	5,176	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 6. Date Exercisable and 7. Title and 9. Number of 11. Nature 3. Transaction 3A. Deemed 5. Number 8. Price of 10. Transaction Code (Instr. Expiration Date (Month/Day/Year) Amount of Securities derivative Securities of Indirect Beneficial Derivative Conversion Date (Month/Day/Year) Execution Date, Derivative Ownership Derivative Security or Exercise if any (Month/Day/Year) Security Form: Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Direct (D) or Indirect (I) (Instr. 4) 8) Price of Derivative Underlying Derivative Beneficially Owned Ownership (Instr. 4) (Instr. 3) (Instr. 5) Security (Instr. 3 and 4) Security Following Reported Transaction(s) (Instr. 4) Amount or Number Date Expiration Date of v (D) Title Code (A) Exercisable Shares

Explanation of Responses:

Remarks:

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

By: Jenelle Chalmers For: 09/18/2023 Jonathan L. Marcus ** Signature of Reporting Person Date